**A.14-11-003 and A.14-11-004 Sempra Utilities’ 2016 TY GRC**

**TURN Data Request**

**Data Request Number:** TURN-SEU-4 (Dues, Donations, and Executive Travel and Perquisites)

**Date Sent:** April 16, 2015

**Response Due:** April 30, 2015

Please provide an electronic response to the following questions. A hard copy response is unnecessary. The response should be provided on a CD sent by mail or as attachments sent by e-mail to the following:

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| --- | --- |
| Bob Finkelstein  The Utility Reform Network (TURN)  785 Market Street, Suite 1400  San Francisco, CA 94103  [bfinkelstein@turn.org](mailto:bfinkelstein@turn.org) | Garrick Jones  JBS Energy  311 D Street, Suite A  West Sacramento, CA 95605  [garrick@jbsenergy.com](mailto:garrick@jbsenergy.com) |

For each question, please provide the name of each person who materially contributed to the preparation of the response. If different, please also identify the Sempra Utilities witness who would be prepared to respond to cross-examination questions regarding the response.

For any questions requesting numerical recorded data, please provide all responses in working Excel spreadsheet format if so available, with cells and formulae functioning.

For any question requesting documents, please interpret the term broadly to include any and all hard copy or electronic documents or records in the possession of either of the Sempra Utilities.

1. Please provide a copy of form 77-M for each of SDG&E and SoCalGas for 2012 and 2013.
2. Please identify the amount of money in base year 2013 charged to ratepayers by (a) SDG&E and (b) SoCalGas for dues and donations to each of the following. Include costs charged by Sempra Corporate and allocated to SDG&E and SoCalGas ratepayers. Identify the account(s) where these ratepayer-funded dues and donations may be found and the amounts in each account for each organization. If money is initially charged to a ratepayer funded account for any of these organizations but adjusted out as part of the rate case, provide references to specific pages in the workpapers where any contributions to these organizations are adjusted out.
   1. California Council for Environmental and Economic Balance (CCEEB),
   2. California Foundation for the Environment and the Economy (CFEE),
   3. The Business Roundtable,.
   4. The California Taxpayers Association and (individually) any other Taxpayers Associations in any cities and counties in California or in any other states,
   5. The Civil Justice Association of California
   6. National Association of Manufacturers
   7. California Manufacturers and Technology Association
   8. Federal and State chambers of commerce
3. Did SDG&E or SoCalGas include in its recorded base year 2013 costs any expense associated with dues to any local chambers of commerce that were not adjusted out for purposes of developing the test year 2015 forecasts? If so, please identify for each utility the total amount of chamber of commerce dues recorded in 2013 and not adjusted out of the forecast for 2016. Include costs incurred by Sempra Energy Corporate if any of the costs were allocated to SDG&E or SoCalGas. Identify each account in which these ratepayer-funded dues and donations may be found and the amounts in each account.
4. Did SDG&E or SoCalGas include in its recorded base year 2013 costs any expense associated with meetings, meals, event sponsorships, or similar costs payable to any chambers of commerce, that were not adjusted out for purposes of developing the test year 2019 forecasts? If so, for each utility please identify the total amount of chamber of commerce payments recorded in 2013 and not adjusted out of the forecast for 2016. Identify each account in which these ratepayer-funded costs may be found and the amounts in each account.
5. Are any contributions or dues and donations made by Sempra Corporate Center that are charged above the line to SDG&E or SoCalGas (i.e., included in base year estimates in this rate case and not removed) not included in Form 77-M? If so, for each utility please identify each contribution over $250 in total in base year 2013 by name of organization and amount of money and amount allocated to SDG&E or SoCalGas. You may cross-reference the response to the previous question.
6. Please identify the cost of any sponsorships of charitable or civic events recorded in the base year 2013 and not adjusted out of the forecast for 2015 by a) SDG&E and (b) SoCalGas. Include costs charged by Sempra Corporate and allocated to SDG&E and SoCalGas. Identify the account(s) where these ratepayer-funded dues and donations may be found and the amounts in each account for each organization. Specifically identify each event and its principal organization (if any). For any individual item in excess of $1,000, provide invoices or vouchers.
7. Regarding Edison Electric Institute (EEI) dues:
8. Please identify total EEI dues and the percentage and dollar amount of EEI dues for which SDG&E seeks recovery from ratepayers and provide supporting documentation including invoices and vouchers for base year 2013 and TY 2016. If any EEI costs are allocated to SDG&E (or SoCalGas) from Corporate Center, please identify them separately. If the answer is greater than zero for TY 2016, answer part (b).
9. Provide the latest available documentation from the EEI identifying the amounts spent on lobbying, legislative advocacy, regulatory advocacy, public relations, advertising, donations, club dues, and any other functions identified by EEI.
10. Regarding American Gas Association (AGA) dues:
    1. Please identify total AGA dues and the percentage and dollar amount of AGA dues for which each of SoCalGas and SDG&E seeks recovery from ratepayers and provide supporting documentation for base year 2013 and TY 2016. If any AGA costs are allocated to SDG&E (or SoCalGas) from Corporate Center, please identify them separately. If the answer is greater than zero for TY 2016, answer part (b).
    2. Provide the latest available documentation from the AGA identifying the amounts spent on lobbying, legislative advocacy, regulatory advocacy, public relations, advertising, donations, club dues, and any other functions identified by AGA.
11. Please identify the cost of any clothing or other items (e.g., pens, knives, flashlights, etc.) containing the name and logo of Sempra Energy, SDG&E, or SoCalGas included in the recorded costs for the 2013 base year and not adjusted out of or otherwise removed in the development of the forecast for the 2016 test year. Please state the amount of such costs by exhibit provided in the GRC filing and Sempra account. For any costs from corporate center, identify the total cost and the costs assigned to SDG&E and SoCal. For each individual item where more than $5,000 is allocated to the Sempra Energy Utilities, provide invoices or vouchers. Exclude uniforms or gear worn or used by employees in the field (e.g., hard hats).
12. Please identify the cost of any tickets to sporting, cultural, or musical events included in the recorded costs for the 2013 base year and not adjusted out of or otherwise removed in the development of the forecast for the 2015 test year. For each such item, please state the amount of such costs by exhibit provided in the GRC filing and Sempra account. For any costs from corporate center, identify the total cost and the costs assigned to SDG&E and SoCalGas. For each individual item where more than $1,000 is allocated to the Sempra Energy Utilities, provide invoices or vouchers. Explain why the costs of the tickets should be charged to ratepayers.
13. Did Sempra, SoCalGas, or SDG&E record any costs of travel outside continental North America included in 2013 for ratepayer recovery that were not adjusted out of the forecast for 2016? If so, for each such trip, please describe the trip and explain why ratepayers should pay for it. For each trip, identify the executives and managers who traveled, the dates of the trip, the costs of the trip, the account(s) where the trip costs were charged, the amount allocated to SDG&E or SoCalGas if the trip was taken by Sempra Energy Corporate officials, any amounts charged below the line to shareholders, the purpose of the trip and the reason why ratepayers should pay for it.
14. Please identify all travel, foreign or domestic, if any costs of the travel was allocated to SoCalGas or SDG&E ratepayers, taken by executives and managers of (a) SoCalGas; (b) SDG&E; (c) Sempra Energy Corporate where either legislators, legislative staff, CPUC staff or commissioners, or other regulators (CEC or state environmental regulators) were present in 2012, 2013, and 2014. Exclude travel to San Francisco or Sacramento or to any other in-state offices of any regulator or legislator. For each trip, identify the executives and managers who traveled, the dates of the trip, the costs of the trip, the account(s) where the trip costs were charged, the amount allocated to SDG&E or SoCalGas if the trip was taken by Sempra Energy Corporate officials, any amounts charged below the line, the purpose of the trip and the reason why ratepayers should pay for it.
15. Please identify all trips to foreign countries in 2012, 2013, and 2014 taken by executives and managers of (a) SoCalGas; (b) SDG&E; (c) Sempra Energy Corporate where the travel was paid for by any charitable organization or foundation. For each trip, identify the executives and managers who traveled, the dates of the trip, the costs of the trip charged to ratepayers if any, the account(s) where any incidental trip costs were charged to ratepayers, and the purpose of the trip. Exclude trips to Baja California and other parts of Mexico within 200 miles of the California border.
16. Please provide the total cost for base year 2013 of any meals or entertainment provided to customers of either SoCalGas or SDG&E, including but not limited to the functions identified in Exhibits SDGE-14 and SoCalGas-12. Identify the amount in each account where these ratepayer-funded entertainment expenses may be found. If any meals and entertainment was provided to customers of the utilities by corporate center, identify the total amount and the amount allocated or assigned to each of SDG&E and SoCalGas. Provide vouchers or other support for any costs of individual items in excess of $1,000.
17. Please identify all base year 2013 costs included in executive compensation associated with (a) corporate aircraft used for personal or family use; (b) financial planning; (c) club dues; (d) other perquisites (home security systems, tickets for sporting events and concerts, etc.); (e) tax gross-ups on stock-based compensation; and (f) tax gross-ups on all other compensation. Provide by Sempra account. If any of these costs were incurred by the corporate center, identify the total amount and the amount allocated or assigned to each of SDG&E and SoCalGas.